

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

5 Applicant(s): Tetsunosuke Fujisaki  
Docket No.: YOR919990527US2  
Serial No.: 09/710,999  
Filing Date: November 9, 2000  
Group: 3695  
10 Examiner: Narayanswamy Subramanian  
  
Title: Method and Apparatus for Network Marketing of Financial Securities

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AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment  
Commissioner for Patents  
20 P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
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In response to the outstanding Office Action, dated October 13, 2010,  
please amend the above-identified patent application as follows.